

Shape-Irrelevant Dependent Types and Unification Constraints

Or:

Why Pattern Inductive Families Need Not Store Their Indices

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TODO.

1. map and foldr for RVec and IVec
2. Write typing rules

1 Syntax

Grammar.

Ann	$\ni \star$	$::=$	$:$ $\hat{?}$ \div	relevant shape-irrelevant irrelevant
Exp	$\ni r, s, t, u,$ S, T, U	$::=$	1 $()$ $r = s \mid r \hat{=} s \mid r \equiv s$ $T_1 + T_2$ $\text{inl } t \mid \text{inr } t \mid \text{case}_T(r) \{ \text{inl } \Rightarrow s; \text{inr } \Rightarrow t \}$ $(x \star U) \rightarrow T$ $x \mid \lambda x t \mid r s$ $(x \star U) \times T$ $(t_1, t_2) \mid \text{unpair}_T(t) \{ (\cdot, \cdot) \Rightarrow f \}$ μT $\text{in } t \mid \text{out } r \mid \text{rec}_T t$ $\mathfrak{A}_{(\Delta \vdash p \dot{=} t : T)} U$ $\text{split } r$ $\text{split } r \text{ in } \hat{\Delta}, x. t$ Set	unit type unit intro intensional equalities disjoint sum disjoint sum intro/elim dependent function type lambda-calculus dependent pair type pair intro/elim inductive type inductive type intro/elim unification constraint empty type elimination constraint elimination universe of small types
Cxt	$\ni \Gamma, \Delta$	$::=$	$\diamond \mid \Gamma. x \star T$	contexts
Pat	$\ni p, q$	$::=$	$x \mid \text{inl } p \mid \text{inr } p \mid (p_1, p_2) \mid \text{in } p$	patterns
UP	$\ni P, Q$	$::=$	$(\Delta \vdash p \dot{=} t : T)$	unification problem

We let $\underline{\Delta}$ denote the list of the variables bound in Δ . Thus, $\underline{\diamond} = \diamond$ and $\underline{\Delta}. x \star T = \underline{\Delta}, x$. The variables \underline{P} bound by a unification problem are $\underline{(\Delta \vdash p \dot{=} t : T)} = \underline{\Delta}$. Further, let $\text{FV}(t)$ be the list of free variable occurrences in term t .

Substitutions σ are maps from variables to expressions. We require that the domain $\text{dom}(\sigma) = \{x \mid \sigma(x) \neq x\}$ is finite. We write id for the identity substitution and $[u := x]$ for the singleton substitution σ with $\text{dom}(\sigma) = \{x\}$ and $\sigma(x) = u$. Capture avoiding parallel substitution of σ in t is written as juxtaposition $t\sigma$.

Operations on relevance annotations and contexts Let the linear order *more irrelevant* \leq on relevance annotations be given by $:\leq\hat{\cdot}\leq\div$. Relevance composition is given by:

$$\star_1\star_2 = \max(\star_1, \star_2)$$

Relevance ‘‘exponentiation’’.

$$\begin{array}{lcl} \div^\oplus & = & \hat{\cdot} \\ \star^\oplus & = & \star \quad \text{for } \star \neq \div \end{array} \quad \begin{array}{lcl} \hat{\cdot}^\ominus & = & \div \\ \star^\ominus & = & \star \quad \text{for } \star \neq \hat{\cdot} \end{array} \quad \star_1^{\star_2} = \begin{cases} : & \text{if } \star_1 \leq \star_2 \\ \star_1 & \text{otherwise} \end{cases}$$

These operations are idempotent. $-\oplus$ and \star_0^- are antitone, $-\ominus$ and $-^*$ are monotone. The operation $-\oplus$ means *going to the type world (and shape equality)* and the inverse operation $-\ominus$ means *going to the term world (and exact equality)*. Further laws:

1. $(\star_1^{\star_2})^{\star_3} = \star_1^{(\star_2\star_3)}$. (Proof: If $\star_1 = :$ or $\div \in \{\star_2, \star_3\}$ then the result is $:$ on both sides. Relevance level $:\in\{\star_2, \star_3\}$ is ignored in composition and is neutral as exponent. In the remaining case, $\star_2 = \star_3 = \hat{\cdot}$, and we conclude by idempotency.)
2. $\star_1^\oplus \leq \star_2$ iff $\star_1 \leq \star_2^\ominus$ (Galois connection) iff $\star_1 \leq \star_2$ or $\star_1 = \div$ and $\star_2 = \hat{\cdot}$.
3. $(\star^\ominus)^\oplus = \star^\oplus$ and $(\star^\oplus)^\ominus = \star^\ominus$.
4. $(\star^\oplus_1)^{\star_2} = \star_1^{\star_2^\ominus}$, in particular, $(\star^\oplus)^\hat{\cdot} = \star^{\hat{\cdot}^\ominus} = \star^{\div} = :$.

These operations are lifted to contexts Γ by applying them to the relevance operations of all bindings of Γ , the relevant $(x:U)$, shape-irrelevant $(x\hat{\cdot}U)$, and irrelevant $(x\div U)$ ones.

Resurrection Γ^\oplus turns all irrelevant bindings $x\div T$ into shape-irrelevant $x\hat{\cdot} T$ ones. Operation $\Gamma^\hat{\cdot}$ returns just Γ , $\Gamma^\hat{\cdot}$ makes all shape-irrelevant bindings relevant, and Γ^\div makes *all* bindings relevant.

We can extend relevance composition to include the symbols \oplus and \ominus ; let $o ::= \star \mid \oplus \mid \ominus$. Relevance $:$ remains the neutral element of composition, and \div the dominant element. Altogether, we get a non-commutative operation with the following laws:

1. $:o = o: = o$ and $\div o = o\div = \div$.
2. $\oplus\ominus = \ominus$ and $\ominus\oplus = \oplus$.
3. $\ominus\hat{\cdot} = \ominus$ and $\hat{\cdot}\ominus = \hat{\cdot}$.
4. $\oplus\hat{\cdot} = \div$, but $\Gamma^{\hat{\cdot}\oplus}$ is a new context operation, a kind of ‘‘decrement’’, making shape-irrelevant assumptions relevant, and irrelevant ones shape-irrelevant.

We extend the relevance ordering by $\div \geq \oplus \geq : \geq \ominus$. Note that \oplus and $\hat{\cdot}$ are incomparable. We have $\forall\star(\star^o \leq \star^{o'})$ iff $o \geq o'$. It does not make sense to extend exponentiation to $o^{o'}$, since while the exponent may be an arbitrary context operation, the base must be a relevance level.

2 Declarative Presentation

Judgements.

$$\begin{array}{ll} \Gamma \vdash T & T \text{ is a wellformed type in context } \Gamma \\ \Gamma \vdash t : T & t \text{ has type } T \text{ in context } \Gamma \end{array}$$

$$\begin{array}{ll} \Gamma \vdash T = T' & T \text{ and } T' \text{ are equal types in context } \Gamma \\ \Gamma \vdash t = t' : T & t \text{ and } t' \text{ are equal terms of type } T \text{ in context } \Gamma \end{array}$$

Derived Judgements.

$\Delta \vdash_{\Gamma} p : T$	p is a wellformed pattern of type T with pattern variables Δ
$\Gamma \vdash P$	P is a well-formed unification problem in context Γ
$\Gamma \vdash P \not\downarrow$	unification problem P is unsolvable
$\Gamma \vdash P \searrow \Gamma' \vdash \sigma; \tau$	unification problem P has most general solution σ, τ

Pattern typing.

$$\Delta \vdash_{\Gamma} p : T \text{ :} \iff \Gamma \vdash T \text{ and } \Gamma. \Delta \vdash p : T \text{ and } \text{FV}(p) = \underline{\Delta}$$

Well-formed unification problems.

$$\frac{\Gamma \vdash T \quad \Gamma^{\dagger} \vdash t : T \quad \Gamma \vdash \Delta \quad \Delta^{\dagger} \vdash_{\Gamma^{\dagger}} p : T}{\Gamma \vdash (\Delta \vdash p \doteq t : T)}$$

Typing. Invariant: $\Gamma \vdash t : T$ implies $\Gamma^{\oplus} \vdash T$.

Basic types.

$$\frac{}{\Gamma \vdash 1} \quad \frac{}{\Gamma \vdash () : 1} \quad \frac{\Gamma \vdash t * 1}{\Gamma \vdash t = () * T}$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A + B} \quad \frac{\Gamma \vdash x : A \quad \Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash (\text{inl } x) : (A + B)} \quad \frac{\Gamma \vdash x : A \quad \Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash (\text{inr } x) : (B + A)}$$

$$\frac{\Gamma \vdash r : (A + B) \quad \Gamma \vdash s : A \rightarrow C \quad \Gamma \vdash t : B \rightarrow C}{\Gamma \vdash (\text{case}_C(r)\{\text{inl} \Rightarrow s; \text{inr} \Rightarrow t\}) : C}$$

$$\frac{\Gamma.x * A \vdash B}{\Gamma \vdash (x * A) \times B} \quad \frac{\Gamma.x * A \vdash y : B}{\Gamma \vdash (x, y) : ((x * A) \times B)}$$

$$\frac{\Gamma \vdash t : (x * A) \times B \quad \Gamma \vdash f : (z * A) \rightarrow B[x := z] \rightarrow C}{\Gamma \vdash (\text{unpair}_C(t)\{(\cdot, \cdot) \Rightarrow f\}) : C}$$

Term equality.

$$\frac{\Gamma \vdash t : T}{\Gamma \vdash t = t : T} \quad \frac{\Gamma \vdash p[x := t] : Q[x := t] \quad \Gamma \vdash t = s : T}{\Gamma \vdash p[x := s] : Q[x := s]} \quad s, t \text{ free for } x \text{ in } p, Q$$

$$\frac{\Gamma \vdash s = t : T}{\Gamma \vdash t = s : T} \quad \frac{\Gamma \vdash t : T}{\Gamma \vdash s = t : T} \quad s =_{\beta\eta} t$$

Type equality.

$$\frac{\Gamma \vdash T}{\Gamma \vdash T = T} \quad \frac{\Gamma \vdash T[x := t] \quad \Gamma \vdash t = u : V}{\Gamma \vdash T[x := t] = T[x := u]} \quad t, u \text{ free for } x \text{ in } T$$

$$\frac{\Gamma \vdash x : T \quad \Gamma \vdash T = U}{\Gamma \vdash x : U}$$

Functions.

$$\frac{\Gamma \vdash U \quad \Gamma. x \star^\oplus U \vdash T}{\Gamma \vdash (x \star U) \rightarrow T}$$

$$\text{instances: } \frac{\Gamma \vdash U \quad \Gamma. x : U \vdash T}{\Gamma \vdash (x : U) \rightarrow T} \quad \frac{\Gamma \vdash U \quad \Gamma. x \hat{=} U \vdash T}{\Gamma \vdash (x \hat{=} U) \rightarrow T} \quad \frac{\Gamma \vdash U \quad \Gamma. x \dot{=} U \vdash T}{\Gamma \vdash (x \dot{=} U) \rightarrow T}$$

$$\frac{(x \star U) \in \Gamma}{\Gamma \vdash x : U} \star = : \quad \frac{\Gamma. x \star U \vdash t : T}{\Gamma \vdash \lambda x t : (x \star U) \rightarrow T} \quad \frac{\Gamma \vdash r : (x \star U) \rightarrow T \quad \Gamma^* \vdash u : U}{\Gamma \vdash r u : T[u := x]}$$

Unification constraints.

$$\frac{\Gamma \vdash (\Delta \vdash p \doteq t : T) \quad \Gamma. \Delta \vdash U}{\Gamma \vdash \mathfrak{B}_{(\Delta \vdash p \doteq t : T)} U}$$

$$\frac{\Gamma \vdash P \searrow \Gamma' \vdash \sigma; \tau \quad \Gamma' \vdash u[\tau] : U[\tau, \sigma]}{\Gamma \vdash u : \mathfrak{B}_P U}$$

$$\frac{\Gamma \vdash r : \mathfrak{B}_P S \quad \Gamma^\oplus \vdash P \not\downarrow}{\Gamma \vdash \text{split } r : U}$$

$$\frac{\Gamma \vdash r : \mathfrak{B}_P S \quad \Gamma^\oplus \vdash P \searrow \Gamma' \vdash \sigma; \tau \quad \Gamma', x : S[\tau, \sigma] \vdash u[\tau, \sigma] : U[\tau]}{\Gamma \vdash \text{split } r \text{ in } \underline{P}, x. u : U}$$

Recursion.

$$\frac{\Gamma \vdash t : F(\mu F) \vec{t}}{\Gamma \vdash \text{in } t : \mu F \vec{t}} \quad \frac{\Gamma \vdash r : \mu F \vec{t}}{\Gamma \vdash \text{out } r : F(\mu F) \vec{t}}$$

$$\frac{\Gamma. \Delta. x : \mu F \Delta \vdash C[\Delta, x] \quad \Gamma \vdash f : (\vec{y} : \Delta. y : F((\Delta. x : \mu F \Delta \vec{y}) \times C[\Delta, x])) \rightarrow C[\vec{y}, \text{in } y]}{\Gamma \vdash \text{rec}_{\Delta, x. C[\Delta, x]} f : (\Delta. x : \mu F \Delta) \rightarrow C[\Delta, x]}$$

Relevance-relative typing. $\boxed{\Gamma \vdash t \star T}$ iff $\Gamma^* \vdash t : T$.

Substitution typing. $\boxed{\Gamma \vdash \sigma : \Delta}$ iff $\Gamma \vdash \sigma(x) \star T$ for all $(x \star T) \in \Delta$.

Lemma 1 (Substitution) *If $\Gamma \vdash \sigma : \Delta$ and $\Delta \vdash t : T$ then $\Gamma \vdash t \sigma : T \sigma$.*

Lemma 2 (Substitution promotion)

1. $\oplus \star_0^\oplus \geq \star_0$ and $\star \star_0^* \geq \star_0$.
2. If $\Gamma \vdash \sigma : \Delta$ then $\Gamma^\oplus \vdash \sigma : \Delta^\oplus$ and $\Gamma^* \vdash \sigma : \Delta^*$.

Example 1 (Booleans)

Bool : Set
 Bool := 1 + 1
 false, true : Bool
 false := inl ()
 true := inr ()

Example 2 (Empty type)

$$\begin{aligned} 0 & : \text{Set} \\ 0 & := \mathfrak{B}_{(\diamond \vdash \text{true} \doteq \text{false} : \text{Bool})} 1 \\ \text{abort}_{A:\text{Set}} & : 0 \rightarrow A \\ \text{abort}_A r & := \text{split } r \end{aligned}$$

Example 3 (Option type)

$$\begin{aligned} \text{Maybe} & : \text{Set} \rightarrow \text{Set} \\ \text{Maybe} & : \lambda A. 1 + A \\ \text{nothing}_{A:\text{Set}} & : \text{Maybe } A \\ \text{nothing}_A & := \text{inl } () \\ \text{just}_{A:\text{Set}} & : A \rightarrow \text{Maybe } A \\ \text{just}_A x & := \text{inr } x \\ \text{maybe}_{A:\text{Set}, C[x:\text{Maybe } A]} & : C[\text{nothing}] \rightarrow ((y:A) \rightarrow C[\text{just } y]) \rightarrow (x:\text{Maybe } A) \rightarrow C[x] \\ \text{maybe}_{A,C[x]} & := \lambda n. \lambda j. \lambda x. \text{case}(x) \{ \text{inl} \Rightarrow \lambda _ . n; \text{inr} \Rightarrow j \} \end{aligned}$$

Example 4 (Natural numbers)

$$\begin{aligned} \text{Nat} & : \text{Set} \\ \text{Nat} & := \mu \text{Maybe} \\ \text{zero} & : \text{Nat} \\ \text{zero} & := \text{in nothing} \\ \text{suc} & : \text{Nat} \rightarrow \text{Nat} \\ \text{suc } x & := \text{in } (\text{just } x) \end{aligned}$$

Specialized recursor for natural numbers:

$$\begin{aligned} \text{natrec}_{C[x:\text{Nat}]} & : C[\text{zero}] \rightarrow ((x:\text{Nat}) \rightarrow C[x] \rightarrow C[\text{suc } x]) \rightarrow (x:\text{Nat}) \rightarrow C[x] \\ \text{natrec}_C & := \lambda z. \lambda s. \text{rec}_C \dots \end{aligned}$$

Example 5 (Recursive vectors)

$$\begin{aligned} \text{RVec} & : \text{Set} \rightarrow \text{Nat} \rightarrow \text{Set} \\ \text{RVec} & := \lambda A. \text{natrec}_{\text{Set}} 1 (\lambda _ . \lambda X. A \times X) \\ \text{rnil}_{A:\text{Set}} & : \text{RVec } A \text{ zero} \\ \text{rnil}_A & := () \\ \text{rcons}_{A:\text{Set}} & : (n:\text{Nat}) \rightarrow A \rightarrow \text{RVec } A n \rightarrow \text{RVec } A (\text{suc } n) \\ \text{rcons}_A n x xs & := (x, xs) \end{aligned}$$

Example 6 (Inductive vectors, equality encoding)

$$\begin{aligned} \text{IVec} & : \text{Set} \rightarrow \text{Nat} \hat{\rightarrow} \text{Set} \\ \text{IVec} & := \lambda A. \mu \lambda X : \text{Nat} \hat{\rightarrow} \text{Set}. \lambda n \hat{:} \text{Nat}. (n \equiv \text{zero}) + (m \hat{:} \text{Nat}) \times (n \equiv \text{suc } m) \times A \times X m \\ \text{inil}_{A:\text{Set}} & : \text{IVec } A \text{ zero} \\ \text{inil}_A & := \text{in } (\text{inl refl}) \\ \text{icons}_{A:\text{Set}} & : (n \hat{:} \text{Nat}) \rightarrow A \rightarrow \text{IVec } A n \rightarrow \text{IVec } A (\text{suc } n) \\ \text{icons}_A n x xs & := \text{in } (\text{inr } (m, (\text{refl}, (x, xs)))) \end{aligned}$$

Example 7 (Inductive vectors, constraint encoding)

\mathbf{IVec}	:	$\text{Set} \rightarrow \text{Nat} \hat{\rightarrow} \text{Set}$
\mathbf{IVec}	:=	$\lambda A. \mu \lambda X : \text{Nat} \hat{\rightarrow} \text{Set}. \lambda n \hat{:} \text{Nat}.$ $(\mathfrak{B}_{(\circ \vdash \text{zero} \hat{=} n : \text{Nat})} \mathbf{1}) + (\mathfrak{B}_{(m : \text{Nat} \vdash \text{succ } m \hat{=} n : \text{Nat})} A \times X m)$
$\mathbf{inl}_{A : \text{Set}}$:	$\mathbf{IVec } A \text{ zero}$
\mathbf{inl}_A	:=	$\mathbf{in} (\mathbf{inl} \ ())$
$\mathbf{icons}_{A : \text{Set}}$:	$(n \hat{:} \text{Nat}) \rightarrow A \rightarrow \mathbf{IVec } A n \rightarrow \mathbf{IVec } A (\text{succ } n)$
$\mathbf{icons}_A n x xs$:=	$\mathbf{in} (\mathbf{inr} (x, xs))$

Excerpts of typing derivations: Case \mathbf{IVec} . Let $\Gamma = A : \text{Set}. X : \text{Nat} \hat{\rightarrow} \text{Set}. n \hat{:} \text{Nat}$.

$$\frac{\frac{m : \text{Nat} \vdash_{\Gamma} \text{succ } m : \text{Nat} \quad \Gamma \hat{\vdash} n : \text{Nat}}{\Gamma \vdash (m \hat{:} \text{Nat} \vdash \text{succ } m \hat{=} n : \text{Nat})} \quad \frac{\frac{\Gamma \hat{\vdash} m : \text{Nat} \vdash m : \text{Nat}}{\Gamma. m \hat{:} \text{Nat} \vdash X m}}{\Gamma. m \hat{:} \text{Nat} \vdash A \times X m}}{\Gamma \vdash \mathfrak{B}_{(m : \text{Nat} \vdash \text{succ } m \hat{=} n : \text{Nat})} A \times X m}$$

Case \mathbf{icons} . Let $\Gamma = A : \text{Set}. n \hat{:} \text{Nat}. x : A. xs : \mathbf{IVec } A n$.

$$\frac{\frac{m \hat{:} \text{Nat} \vdash_{\Gamma}^a m \hat{=} n : \text{Nat} \quad \Gamma \hat{\vdash} \text{id}; [n/m]}{m \hat{:} \text{Nat} \vdash_{\Gamma}^a \text{succ } m \hat{=} \text{succ } n : \text{Nat} \quad \Gamma \hat{\vdash} \text{id}; [n/m]} \quad \frac{\Gamma \vdash xs : \mathbf{IVec } A n}{\Gamma \vdash (x, xs) : A \times \mathbf{IVec } A n}}{\Gamma \vdash (x, xs) : (\mathfrak{B}_{(m : \text{Nat} \vdash \text{succ } m \hat{=} \text{succ } n : \text{Nat})} A \times \mathbf{IVec } A m)}$$

3 Algorithmic Presentation

Judgements.

$\Gamma \vdash^a T$	T is a wellformed type in context Γ
$\Gamma \vdash^a t \Leftarrow T$	t checks against type T in context Γ
$\Gamma \vdash^a r \Rightarrow T$	r has inferred type T in context Γ
$\Gamma \vdash^a P$	P is a well-formed unification problem in context Γ
$\Delta \vdash_{\Gamma}^a p \not\Leftarrow t : T$	unification of expression t and pattern p fails
$\Delta \vdash_{\Gamma}^a p \hat{=} t : T \searrow \Gamma' \vdash \sigma; \tau$	expression t unifies with pattern p under m.g.u. σ, τ

Unification. $\boxed{\Delta \vdash_{\Gamma}^a p \hat{=} t : T \searrow \Gamma' \vdash \tau; \sigma}$

Algorithm for unification. Concatenation of pairs of substitutions are meant component-wise, concatenation with $\hat{\Leftarrow}$ yields $\hat{\Leftarrow}$. z is always a new variable which has not been used yet, q and r are placeholders for either (\cdot, \cdot) , or for \mathbf{inl} \mathbf{inr} ignoring the second argument.

$$\begin{aligned} x \searrow^? y &= ([x := z], [y := z]) \\ x \searrow^? q(t, u) &= ([x := q(t, u)[x := z]], [x := z]) \\ q(t, u) \searrow^? x &= \begin{cases} (\tau, \sigma) & \text{for } x \searrow^? q(t, u) = (\sigma, \tau) \\ \hat{\Leftarrow} & \text{for } x \searrow^? q(t, u) = \hat{\Leftarrow} \end{cases} \\ q(t, u) \searrow^? r(v, w) &= \begin{cases} (t \searrow^? v) \circ (u \searrow^? w) & \text{for } q = r \\ \hat{\Leftarrow} & \text{otherwise} \end{cases} \end{aligned}$$

Invariants: If $\Gamma^\oplus \vdash T$ and $\Gamma^\oplus \vdash \Delta$ and $\Gamma^{\oplus\hat{z}} \vdash t : T$ and $\Delta^{\hat{z}} \vdash_{\Gamma^{\oplus\hat{z}}} p : T$ then $\Gamma' \vdash \tau : \Gamma$ and $\Gamma'^{\oplus} \vdash \sigma : \Delta\tau$ and $\Gamma'^{\oplus\hat{z}} \vdash p\sigma = t\tau : T\tau$. Remember that $\Gamma^{\oplus\hat{z}} = \Gamma^{\hat{z}}$.

$$\overline{\Delta \vdash_{\Gamma}^a x \doteq t : T \searrow \Gamma \vdash \text{id}; \langle t \rangle x} \quad \overline{\Delta \vdash_{\Gamma.y^*T, \Gamma'}^a p \doteq y : T \searrow \Gamma. \Delta. \Gamma' \vdash \langle p \rangle y; \text{id}}$$

References

- [AS12] Andreas Abel and Gabriel Scherer. On irrelevance and algorithmic equality in predicative type theory. *Logical Meth. in Comput. Sci.*, 8(1:29):1–36, 2012. TYPES'10 special issue.